



10-6-03

PTO/SB/21 (03-03)

Approved for use through 04/30/2003. OMB 0651-0031

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	to be determined
	Filing Date	06/20/2003
	First Named Inventor	Dongwen Wang et al.
	Art Unit	to be determined
	Examiner Name	to be determined
Total Number of Pages in This Submission	Attorney Docket Number	5199-101

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to a Technology Center (TC)
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Todd Holmbo, Reg. No. 42,665
Signature	
Date	10/3/2003

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:		10/3/03
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Dongwen Wang et al.

Docket No.: 5199-101

Serial No.: to be assigned

Examiner: to be assigned

Filed: June 20, 2003

Group Art Unit: to be determined

Title: **A Flexible Model for Execution of Clinical Practice Guidelines
Encoded in the GLIF3 Format**

October 3, 2003

Commissioner for Patents
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97(b)

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, applicant hereby notifies the U. S. Patent and Trademark Office of the following documents, which are listed on the attached PTO/SB/08B form. The examiner may deem these documents to be relevant to patentability of the claims of the above-identified application. Copies of the documents are enclosed for the examiner's convenience.

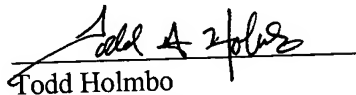
The submission of the listed documents is not intended as an admission that any of the documents constitute prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the examiner and be made of record in the present application and that initialed copies of Form PTO/SB/08B be returned in accordance with MPEP §609.

It is understood that no fee is necessary for submission of this Information Disclosure Statement, as it is being filed before the later of three months from the filing date or the mailing of the first Office Action on the merits.

The Commissioner is hereby authorized to charge payment of any fees associated with this application or credit any overpayment to Deposit Account No. 02-4270.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Todd A. Holmbo", is written over a horizontal line.

Todd Holmbo

Reg. No. 42,665

Attorney for Applicants

BROWN RAYSMAN MILLSTEIN

FELDER & STEINER LLP

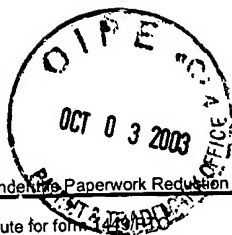
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Substitute for form 1449/1500

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Applicant Number	to be assigned
	Filing Date	06/20/2003
	First Named Inventor	Dongwen Wang
	Art Unit	to be determined
	Examiner Name	To be Determined
	Attorney Docket Number	5199-101

Sheet 1 of 2

NON PATENT LITERATURE DOCUMENTS

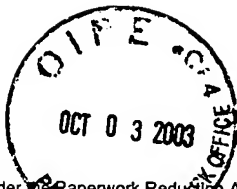
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		V.L. PATEL et al., "Analysis of the Process of Encoding Guidelines: A Comparison of GLIF2 and	
		GLIF3," Methods Inf. Med. 2002; 41: 105-13.	
		YING TAO et al., "Extended Attributes of Event Monitor Systems for Criteria-Based Notification	
		Modalities." Proc AMIA Symp. 2002;:762-6.	
		DONGWEN WANG et al., "GLEE-A Model-Driven Execution System for Computer-Based Implementation of	
		"Clinical Practice Guidelines," Proc AMIA Symp. 2002;:855-9.	
		DONGWEN WANG et al., "Representation of Clinical Practice Guidelines for Computer-Based	
		Implementations," Medinfo. 2001;10(Pt 1):285-9.	

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Substitute for form 1422, 10/2/03

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Complete if Known

Application Number	to be assigned
Filing Date	06/20/2003
First Named Inventor	Dongwen Wang
Art Unit	to be determined
Examiner Name	To be Determined
Attorney Docket Number	5199-101

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		D. WANG et al., "Representation primitives, process models and patient data	
		in computer-interpretable clinical practice guidelines: a literature review of guideline	
		representation models." Int J Med Inf. 2002 Dec 18;68(1-3):59-70.	
		D. WANG., " Translating national childhood immunization guidelines to a computer-based reminder	
		recall system within an immunization registry." Proc AMIA Symp. 1999:994-8.	
		SUSAN CONOVA, "Useful Clinical Guidelines: New Software May Help Make Guidelines Accessible,"	
		In Vivo, Vol. 2, No. 1, 15 Jan. 2003. http://cpmcnet.columbia.edu/news/in-vivo/Vol2_Iss01_jan15_03/i	

Examiner Signature		Date Considered	
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